



INDUSTRY ASSOCIATE MEMBERSHIP APPLICATION

The Third Party Marketers Association offers membership to a select group of investment management, sales & marketing organizations and industry associates who support marketing and investment management firms and whom meet the criteria of membership, which includes a commitment to best practices. In addition to the Membership Application, organizations seeking membership must also commit to adhere to the Third Party Marketers Association Principles and Best Practices and must therefore submit an attestation signed by a managing principal, CEO or CCO to that effect. Firms who meet the eligibility requirements for membership into the Third Party Marketers Association as a professional marketing organization or as an investment management firm must complete a different application for 3PM. Questions? Contact us at info@3pm.org or by calling (508) 320-1685.

Firm Name	
Firm Address	
Website	
Main Phone Number	

Note: Active member firms will be listed on the 3PM Member web page upon membership approval, acceptance, and payment of dues. Check here if you do not wish to be listed on the 3PM website: ☐

Firm Principals & Key Personnel (Relevant to business line that will interact with 3PM member firms):

Principal/Employee	Title	Email	Phone

*Add additional line items/listings as needed on this or a separate page

Industry Associate Posting:

As a member firm, once your membership is accepted, you have the opportunity to be posted as an Industry Associate on the 3PM website. This would provide your firm with the opportunity to provide an overview your entity's area of expertise and contact details for those who visit the 3PM.org site. If you would like your profile posted to site as a part of your membership please confirm here by providing the following details:

Firm Logo	[please send directly to info@3pm.org for posting directly onto our site above your firm's description and contact details]
Firm Service Category	[i.e. Marketing Support Services, Database Provider, Industry Publications, etc.]
Firm Description	[limit 50 words]
Firm Contact Name	
Firm Contact Email	
Firm Contact Phone	

Principles and Best Practices Attestation

As the firm managing principal, CEO or CCO of the firm I, _____, hereby confirm that the above regulatory and registration information is accurate and that all firm personnel are properly licensed and registered. I also confirm that neither the firm nor any of its current owners or employees have been or are currently the subject of any revocation, censure, or litigation by the regulatory bodies that govern the financial services industry (unless such information has been fully disclosed in writing to 3PM and formal acceptance of the firm's candidacy has been affirmed by 3PM prior to submission in writing). In addition, should the firm or any of its owners or employees become the subject or a part of any disciplinary action by the regulatory bodies as described above, I confirm that a firm representative will notify 3PM immediately. Proof of licensing and registration with the appropriate authorities should be provided in conjunction with this application, particularly as it relates to non-US entities. Furthermore, I have read and agree to abide by the 3PM Principles and Best Practices.

Attestation Signature: _____

Additional Information:

3PM is a volunteer Association. Volunteering is also the best way for your firm to have maximum exposure and thus value-added interaction with the Association's membership. As such, we ask all firms to please have one representative from your firm volunteer to participate on a committee. The representative can be anyone at your firm whether a Principal or an Admin. We just need someone that has about an hour a week to participate in 3PM activities/planning. Please confirm a committee that you/a member of your firm would consider participating on to maximize your participation in 3PM:

☐ Partnerships & Events ☐ Membership & Strategy ☐ Marketing & Communications ☐ Regulatory

Representative of Firm that will participate on the 3PM committee:

Name	Title	Email	Phone

INDUSTRY ASSOCIATE MEMBERSHIP (\$1000 USD)

To finalize the application process and to ensure proper listing on 3PM's globally recognized website, please be sure the following has been completed:

☐ ***Firm Membership Application, including:***

- ☐ Read the 3PM Principles and Best Practices
- ☐ 3PM Principles and Best Practices Attestation signed and dated by a firm managing principal, CEO or CCO
- ☐ Industry Associate Posting Matrix Completion
- ☐ Contact person to be listed on the 3PM website: *List only one name below or you will be charged for an additional contact*

Name	Title	Email	Phone

- ☐ Additional contacts may be added for \$200 per person. (Please include Name, Phone and Email Address for each additional contact in the matrix above on the shaded lines.)
- ☐ **Payment.** See below for options if your payment has not already been accepted via the 3PM website portal.

PAYMENT PROCESSING:

- We accept Mastercard, VISA, and American Express on 3PM's site.
- Check/Money Order can be sent to the Third Party Marketers Association 23 Browns Road Grafton, MA 01519
- Once payment and the attached is received, members will be listed on the website for the calendar year