



FINOP and Compliance Services



Ken George

Mr. George has been a licensed FINOP since 1996 and founded FINOP Services, LLC in 2003. He and his staff provide financial and operational services to broker-dealers throughout the country. Prior to 1996, Mr. George worked at Creelman & Smith, CPA, a Boston-based accounting firm that specialized in consulting and auditing broker-dealers throughout New England. From 1987 to 1991, Mr. George was a Compliance Examiner at the Boston District of the NASD. Mr. George holds a Bachelor of Science in Business Administration from the University of New Hampshire and is a licensed CPA.