



## FINOP and Compliance Services

### **Daniel Beaton**

Since 1999, Mr. Beaton has been the CFO, CCO and FINOP of Alpha Equity Research, an institutional research broker dealer. Mr. Beaton is also a contract employee of FINOP Services, LLC and has worked as a per diem FINOP for introducing broker/dealers in the areas of general securities, private placements, merger and acquisitions, and third party marketing since 2003. Mr. Beaton is a 1999 graduate from the Whittemore School of Business, University of New Hampshire.